

Stocks and shares ISA to M&S Cash ISA transfer form

Fixed and Variable Rate Savings options

ISSUE 28

Source code

INTE

17/18

Part A – ensure you also fill in Part B

Please ensure all boxes are completed in full, using black ink and BLOCK CAPITALS and sign as required. Incomplete or incorrect forms will cause a delay and may have to be returned to you. Please call 0808 002 2222 if you require any assistance.

PLEASE NOTE THIS IS NOT AN APPLICATION FORM. PLEASE COMPLETE SEPARATE FORMS FOR EACH ACCOUNT TO BE TRANSFERRED.

1. Personal details

If you are an existing customer and already have an M&S client reference number, please enter it here

Title Mr Mrs Ms Miss Dr Other (please specify)

Forename(s) Surname

Gender Male Female Date of birth - -

Do you have a National Insurance (NI) number? Yes No If yes, you must enter it here

If you have a temporary NI number please tick the 'no' box above. You should be able to find your NI number on a payslip, form P45 or P60, a letter from HM Revenue & Customs, a letter from the Department for Work and Pensions, or your pension order book.

2. Contact details

Current address
Current permanent residential address (please note we are unable to accept c/o or PO Box addresses)

Postcode

Telephone/email
We may need to contact you in the future about your account or if we have any questions regarding your application form. Please provide at least one telephone number.

Home tel number Work tel number

Mobile tel number Email address

3. Information about the stocks and shares ISA you want to transfer

Name of existing ISA provider

Address of existing ISA provider
 Postcode

ISA number/reference

Please note
As well as being subject to the terms and conditions of the cash ISA receiving the transfer proceeds, the transfer will be undertaken subject to the terms and conditions applying to your stocks and shares ISA. For example:

- Some stocks and shares ISA products do not allow only part of an ISA to be transferred, or may place conditions on how such a transfer is undertaken, eg when deciding which investments are sold to facilitate the transfer.
- Your existing ISA provider may need you to give them specific information before the transfer can go ahead.
- There may be outstanding fees to pay before the transfer goes ahead.

If you are not sure what conditions apply please check with your existing stocks and shares ISA provider before completing this form.

Q1 Do you want to transfer all or part of your ISA? All Part

If you answered 'All' to Q1 this will result in all investments currently held being sold. The sale proceeds, together with any uninvested cash currently held in the ISA, will be transferred to your new ISA provider in its entirety.

Q2 Have you subscribed to this stocks and shares ISA in the current tax year? Yes No

If you answered 'All' to Q1, please go directly to the **Transfer authority** section below.
If you answered 'Part' to Q1, please answer questions 3-5 below.

Partial transfers

Q3 If you answered 'Yes' to Q2, do you want to transfer your current tax year ISA subscription (this would involve selling any investments made with the subscription from the current tax year)? Yes No

Please note that the amount in your account representing current tax year subscriptions can only be transferred in whole and not in part.

Q4 If you only want to transfer your subscriptions from the current tax year, please tick here

or

If you only want to transfer all of your subscriptions from previous tax years, please tick here

or

If you only want to transfer some of your subscriptions from previous tax years (as well as investments relating to current year subscriptions if you answered 'Yes' to Q3), tick here and provide sale instructions by answering Q5.

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Part B – ensure you also fill in Part A

To comply with our regulatory obligations, we need to collect certain personal information from you, including how you will fund your account, and conduct various checks on transfer applications and transactions. Your name and address may be checked with a credit reference agency to verify your identity, and for crime prevention measures, using information from the voters roll and other public sources. If we are unable to confirm your details we will write to you to request verification prior to accepting and completing your transfer. Incomplete or incorrect forms will cause a delay and may have to be returned to you. Please call 0808 002 2222 if you require any assistance.

1. Personal details

Please refer to the guide to applying for a cash ISA for further information.

If you are an existing savings and investments customer with M&S please enter your client reference

Forename(s) Surname

Are you now or have you ever been known by any other name(s)? Yes No If yes, please specify the full names below

Country of birth Country of permanent residence

Are you or any member of your immediate family a public official? Yes No

2. Current and previous address details

Current address
Current permanent residential address (please note we are unable to accept c/o or PO Box addresses)

Postcode

How long have you lived there? years months

Previous address
If you've been at your current address less than three years, please supply all other addresses you have lived at during this period. If you need additional space, please use a blank piece of paper.

Postcode

How long did you live there? years months

3. Nationality and tax residency

Nationality/Citizenship (1)

You may be a national or citizen of a country if it has issued you with a passport, or if you have the right to vote in its national elections for example. If you hold more than one nationality/citizenship, please include these below (you can include up to three).

Nationality/Citizenship (2) Nationality/Citizenship (3)

In which country/countries are you resident for tax purposes? This is the country/countries in which you are liable to pay income and/or capital gains tax (if you're resident for tax purposes in more than one country, please also provide these – you can include up to three). If you are resident for tax purposes in the same countries to which you have already confirmed your nationality/citizenship, we still need you to confirm this below.

(1) (2) (3)

4. Current income details

Employment status Employed full time Employed part time Self-employed At home
 Retired/Receiving pension Receiving disability benefit Unemployed
 Student. Please provide your course end date and supply evidence - -

What is your gross annual income? (This is your annual income from all sources before tax or any other deductions) £

Employment details

Employment role Business Owner Key Controller Sole Trader Employee

If you are employed full or part time/self-employed please complete:

Employer's name

Employer's address

Industry type

<input type="checkbox"/> Agriculture, Forestry & Fishing	<input type="checkbox"/> Banking	<input type="checkbox"/> Chemicals & Allied	<input type="checkbox"/> Computers & Telecommunications
<input type="checkbox"/> Construction	<input type="checkbox"/> Distribution	<input type="checkbox"/> Estate agents & Insurance brokers	<input type="checkbox"/> Foods, Drink & Tobacco
<input type="checkbox"/> Metal manufacturing	<input type="checkbox"/> Mining & Quarrying	<input type="checkbox"/> Other manufacturing	<input type="checkbox"/> Professional & Scientific
<input type="checkbox"/> Public sector, Transport & Communication	<input type="checkbox"/> Public services	<input type="checkbox"/> Social services	<input type="checkbox"/> Vehicle manufacturing

Occupation

