

Stocks and shares ISA to M&S Cash ISA transfer form

ISSUE 58

Source code

25/26

Part A – ensure you also fill in Part B

If completing by hand, use black ink, BLOCK CAPITALS and initial any alterations.

For more detail on how we will use your personal information, please see our Privacy Notice at marksandspencer.com/bankprivacynotice.

You must provide all personal information. Any incomplete or incorrect information on the form will cause a delay and we may have to return the form to you. Please call 0808 002 2222 if you need any help.

PLEASE NOTE THIS IS NOT AN APPLICATION FORM. PLEASE COMPLETE SEPARATE FORMS FOR EACH ACCOUNT TO BE TRANSFERRED.

1. Personal details			
If you are an existing M&S savings and investments customer, please enter your client reference number <input type="text"/>			
Title	<input type="text"/>	Forename	<input type="text"/>
Middle name(s)	<input type="text"/>	Surname	<input type="text"/>
Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female	Date of birth	<input type="text" value="DD-MM-YYYY"/>
Do you have a National Insurance (NI) number?	<input type="checkbox"/> Yes <input type="checkbox"/> No	If yes, you must enter it here	<input type="text" value=""/>
If 'No':			
Are you eligible to apply for a National Insurance Number? <input type="checkbox"/> Yes <input type="checkbox"/> No If 'Yes', please enter it above			
<ul style="list-style-type: none"> • If you are eligible to hold a National Insurance Number you must provide this as part of your application. • You can check if you are eligible and apply online at Gov.UK > Money and Tax > National Insurance. • Your application will be rejected if you do not provide your National Insurance Number or confirm that you are not eligible to hold one. • You should be able to find your NI number on a payslip, form P45 or P60, a letter from HM Revenue & Customs, a letter from the Department for Work and Pensions, or your pension order book. 			
2. Contact details			
Current permanent residential address			
Please note, in most circumstances, we are unable to accept c/o or PO box addresses. <input type="text"/>			
<input type="text"/>		Postcode	<input type="text"/>
Telephone/email			
We may need to contact you in the future about your account or if we have any questions regarding your application form. Please provide at least one telephone number.			
Home tel number	<input type="text"/>	Work tel number	<input type="text"/>
Mobile tel number	<input type="text"/>	Email address	<input type="text"/>
3. Information about the stocks and shares ISA you want to transfer			
Name of existing ISA provider <input type="text"/>			
Address of existing ISA provider <input type="text"/>			
<input type="text"/>		Postcode	<input type="text"/>
ISA number/reference	<input type="text" value=""/>		
Please note			
As well as being subject to the terms and conditions of the cash ISA receiving the transfer proceeds, the transfer will be undertaken subject to the terms and conditions applying to your stocks and shares ISA. For example:			
<ul style="list-style-type: none"> • Some stocks and shares ISA products do not allow only part of an ISA to be transferred, or may place conditions on how such a transfer is undertaken, eg when deciding which investments are sold to facilitate the transfer. • Your existing ISA provider may need you to give them specific information before the transfer can go ahead. • There may be outstanding fees to pay before the transfer goes ahead. 			
If you are not sure what conditions apply, please check with your existing stocks and shares ISA provider before completing this form.			
Q1	Do you want to transfer all or part of your ISA?	<input type="checkbox"/> All	<input type="checkbox"/> Part
If you answered 'All' to Q1 this will result in all investments currently held being sold. The sale proceeds, together with any uninvested cash currently held in the ISA, will be transferred to your new ISA provider in their entirety.			
Q2	Have you subscribed to this stocks and shares ISA in the current tax year?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
If you answered 'All' to Q1, please go directly to the Transfer authority section below.			
If you answered 'Part' to Q1, please answer questions 3-5 below.			
Partial transfers			
Q3	If you answered 'Yes' to Q2, do you want to transfer your current tax year ISA subscription (this would involve selling any investments made with the subscription from the current tax year)?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Please note that the amount in your account representing current tax year subscriptions can only be transferred in whole and not in part.			
Q4	If you only want to transfer your subscriptions from the current tax year, please tick here	<input type="checkbox"/>	
	or	<input type="checkbox"/>	
	If you only want to transfer all of your subscriptions from previous tax years, please tick here	<input type="checkbox"/>	
	or	<input type="checkbox"/>	
	If you only want to transfer some of your subscriptions from previous tax years (as well as investments relating to current year subscriptions if you answered 'Yes' to Q3), tick here and provide sale instructions by answering Q5.	<input type="checkbox"/>	

PLEASE COMPLETE THE REST OF THE FORM OVERLEAF

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Part B – ensure you also fill in Part A

You must provide all personal information. Any incomplete or incorrect information on the form will cause a delay and we may have to return the form to you. Please call 0808 002 2222 if you need any help.

1. Personal details			
Please refer to the application guide enclosed for further information.			
If you are an existing M&S savings and investments customer, please enter your client reference number <input type="text"/>			
Forename	<input type="text"/>	Middle name(s)	<input type="text"/>
		Surname	<input type="text"/>
Are you now or have you ever been known by any other name(s)? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, please specify the full names below			
<input type="text"/>			
Country of birth	<input type="text"/>	Country of permanent residence	<input type="text"/>
2. Current and previous address details			
Current permanent residential address			
Please note, in most circumstances, we are unable to accept c/o or PO box addresses. <input type="text"/>			
<input type="text"/>			
<input type="text"/>	Postcode	<input type="text"/>	
Country	<input type="text"/>	How long have you lived there?	<input type="text"/> years <input type="text"/> months
Previous address			
If you've been at your current permanent residential address less than three years, please supply all other addresses you have lived at during this period. If you need additional space, please use a blank piece of paper.			
<input type="text"/>			
<input type="text"/>	Postcode	<input type="text"/>	
Country	<input type="text"/>	How long did you live there?	<input type="text"/> years <input type="text"/> months
3. Nationality and tax residency			
Nationality/citizenship (1)	<input type="text"/>		
You may be a national or citizen of a country if it has issued you with a passport, or if you have the right to vote in its national elections for example. If you hold more than one nationality/citizenship, please include these below (you can include up to three).			
Nationality/citizenship (2)	<input type="text"/>	Nationality/citizenship (3)	<input type="text"/>
In which country/countries are you resident for tax purposes? This is the country/countries in which you are liable to pay Income and/or Capital Gains Tax (if you're resident for tax purposes in more than one country, please also provide these – you can include up to three). If you are resident for tax purposes in the same countries to which you have already confirmed your nationality/citizenship, we still need you to confirm this below.			
(1)	<input type="text"/>	(2)	<input type="text"/>
		(3)	<input type="text"/>
4. Current income details			
Employment status			
<input type="checkbox"/> Employed full time	<input type="checkbox"/> Employed part time	<input type="checkbox"/> Self-employed	<input type="checkbox"/> Retired/receiving pension
<input type="checkbox"/> At home	<input type="checkbox"/> Unemployed	<input type="checkbox"/> Student	<input type="checkbox"/> Receiving disability benefit
What is your gross annual income?			<input type="text"/>
This is your annual income from all sources before tax or any other deductions (eg salary if you are employed part or full time, annual earnings from business if you are self-employed or a business owner).			
Employment details – Please refer to the application guide enclosed for further information.			
Employment role	<input type="checkbox"/> Business owner	<input type="checkbox"/> Key controller	<input type="checkbox"/> Sole trader
			<input type="checkbox"/> Employee
If you are employed full or part time/self-employed please complete:			
Employer's/business name	<input type="text"/>		
Employer's/business address	<input type="text"/>		
Industry type			
<input type="checkbox"/> Agriculture, forestry & fishing	<input type="checkbox"/> Banking	<input type="checkbox"/> Chemicals & allied	<input type="checkbox"/> Computers & telecommunications
<input type="checkbox"/> Construction	<input type="checkbox"/> Distribution	<input type="checkbox"/> Estate agents & insurance brokers	<input type="checkbox"/> Foods, drink & tobacco
<input type="checkbox"/> Metal manufacturing	<input type="checkbox"/> Mining & quarrying	<input type="checkbox"/> Other manufacturing	<input type="checkbox"/> Professional & scientific
<input type="checkbox"/> Public sector, transport & communication	<input type="checkbox"/> Public services	<input type="checkbox"/> Social services	<input type="checkbox"/> Vehicle manufacturing
Other (specify)	<input type="text"/>	Occupation	<input type="text"/>

PLEASE COMPLETE THE REST OF THE FORM OVERLEAF

