

# Stocks and shares ISA to M&S Cash ISA transfer form Fixed and Variable Rate Savings options

ISSUE 28

Source code

INTE

17/18

## Part A – ensure you also fill in Part B

Please ensure all boxes are completed in full, using black ink and BLOCK CAPITALS and sign as required. Incomplete or incorrect forms will cause a delay and may have to be returned to you. Please call 0800 002 2222 if you require any assistance.

PLEASE NOTE THIS IS NOT AN APPLICATION FORM. PLEASE COMPLETE SEPARATE FORMS FOR EACH ACCOUNT TO BE TRANSFERRED.

| 1. Personal details  |   |                                 |   |
|--|---|---------------------------------|---|
| If you are an existing customer and already have an M&S client reference number, please enter it here <input type="text"/>   |   |                                 |   |
| Title  | <input type="checkbox"/> Mr   | <input type="checkbox"/> Mrs    | <input type="checkbox"/> Ms                         |
|  | <input type="checkbox"/> Miss   | <input type="checkbox"/> Dr     | <input type="text"/> Other (please specify)         |
| Forename(s)  | <input type="text"/>  |                                 | Surname <input type="text"/>                        |
| Gender   | <input type="checkbox"/> Male   | <input type="checkbox"/> Female | Date of birth <input type="text"/>                  |
| Do you have a National Insurance (NI) number?  | <input type="checkbox"/> Yes  | <input type="checkbox"/> No     | If yes, you must enter it here <input type="text"/> |
| If you have a temporary NI number please tick the 'no' box above. You should be able to find your NI number on a payslip, form P45 or P60, a letter from HM Revenue & Customs, a letter from the Department for Work and Pensions, or your pension order book.   |   |                                 |   |
| 2. Contact details   |   |                                 |   |
| <b>Current address</b>   |   |                                 |   |
| Current permanent residential address (please note we are unable to accept c/o or PO Box addresses) <input type="text"/>   |   |                                 |   |
| <input type="text"/>   |   | Postcode                        | <input type="text"/>                                |
| <b>Telephone/email</b>   |   |                                 |   |
| We may need to contact you in the future about your account or if we have any questions regarding your application form. Please provide at least one telephone number.   |   |                                 |   |
| Home tel number  | <input type="text"/>  | Work tel number                 | <input type="text"/>                                |
| Mobile tel number  | <input type="text"/>  | Email address                   | <input type="text"/>                                |
| 3. Information about the stocks and shares ISA you want to transfer  |   |                                 |   |
| Name of existing ISA provider <input type="text"/>   |   |                                 |   |
| Address of existing ISA provider <input type="text"/>  |   |                                 |   |
| <input type="text"/>   |   | Postcode                        | <input type="text"/>                                |
| ISA number/reference <input type="text"/>  |   |                                 |   |
| <b>Please note</b>   |   |                                 |   |
| As well as being subject to the terms and conditions of the cash ISA receiving the transfer proceeds, the transfer will be undertaken subject to the terms and conditions applying to your stocks and shares ISA. For example:   |   |                                 |   |
| <ul style="list-style-type: none"> <li>Some stocks and shares ISA products do not allow only part of an ISA to be transferred, or may place conditions on how such a transfer is undertaken, eg when deciding which investments are sold to facilitate the transfer.</li> <li>Your existing ISA provider may need you to give them specific information before the transfer can go ahead.</li> <li>There may be outstanding fees to pay before the transfer goes ahead.</li> </ul> |   |                                 |   |
| If you are not sure what conditions apply please check with your existing stocks and shares ISA provider before completing this form.  |   |                                 |   |
| <b>Q1</b>  | Do you want to transfer all or part of your ISA?  | <input type="checkbox"/> All    | <input type="checkbox"/> Part                       |
| If you answered 'All' to Q1 this will result in all investments currently held being sold. The sale proceeds, together with any uninvested cash currently held in the ISA, will be transferred to your new ISA provider in its entirety.   |   |                                 |   |
| <b>Q2</b>  | Have you subscribed to this stocks and shares ISA in the current tax year?  | <input type="checkbox"/> Yes    | <input type="checkbox"/> No                         |
| If you answered 'All' to Q1, please go directly to the <b>Transfer authority</b> section below.  |   |                                 |   |
| If you answered 'Part' to Q1, please answer questions 3-5 below.   |   |                                 |   |
| <b>Partial transfers</b>   |   |                                 |   |
| <b>Q3</b>  | If you answered 'Yes' to Q2, do you want to transfer your current tax year ISA subscription (this would involve selling any investments made with the subscription from the current tax year)?  | <input type="checkbox"/> Yes    | <input type="checkbox"/> No                         |
| <b>Please note that the amount in your account representing current tax year subscriptions can only be transferred in whole and not in part.</b>   |   |                                 |   |
| <b>Q4</b>  | If you only want to transfer your subscriptions from the current tax year, please tick here   | <input type="checkbox"/>        |   |
|  | or  | <input type="checkbox"/>        |   |
|  | If you only want to transfer all of your subscriptions from previous tax years, please tick here  | <input type="checkbox"/>        |   |
|  | or  | <input type="checkbox"/>        |   |
|  | If you only want to transfer some of your subscriptions from previous tax years (as well as investments relating to current year subscriptions if you answered 'Yes' to Q3), tick here and provide sale instructions by answering Q5. | <input type="checkbox"/>        |   |



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## Part B – ensure you also fill in Part A

To comply with our regulatory obligations, we need to collect certain personal information from you, including how you will fund your account, and conduct various checks on transfer applications and transactions. Your name and address may be checked with a credit reference agency to verify your identity, and for crime prevention measures, using information from the voters roll and other public sources. If we are unable to confirm your details we will write to you to request verification prior to accepting and completing your transfer. Incomplete or incorrect forms will cause a delay and may have to be returned to you. Please call 0808 002 2222 if you require any assistance.

|  |   |
|--|---|
| <b>1. Personal details</b>   |   |
| Please refer to the guide to applying for a cash ISA for further information.  |   |
| If you are an existing savings and investments customer with M&S please enter your client reference <input type="text"/>   |   |
| Forename(s) <input type="text"/>   | Surname <input type="text"/>  |
| Are you now or have you ever been known by any other name(s)? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, please specify the full names below <input type="text"/>  |   |
| Country of birth <input type="text"/>  | Country of permanent residence <input type="text"/>   |
| Are you or any member of your immediate family a public official? Please refer to the guide to applying for a cash ISA for further information. <input type="checkbox"/> Yes <input type="checkbox"/> No   |   |
| <b>2. Current and previous address details</b>   |   |
| <b>Current address</b>   |   |
| Current permanent residential address (please note we are unable to accept c/o or PO Box addresses) <input type="text"/>   |   |
| <input type="text"/>   | Postcode <input type="text"/>   |
| Country <input type="text"/>   | How long have you lived there? <input type="text"/> years <input type="text"/> months                                 |
| <b>Previous address</b>  |   |
| If you've been at your current address less than three years, please supply all other addresses you have lived at during this period. If you need additional space, please use a blank piece of paper.   |   |
| <input type="text"/>   | Postcode <input type="text"/>   |
| Country <input type="text"/>   | How long did you live there? <input type="text"/> years <input type="text"/> months                                   |
| <b>3. Nationality and tax residency</b>  |   |
| Nationality/Citizenship (1) <input type="text"/>   |   |
| You may be a national or citizen of a country if it has issued you with a passport, or if you have the right to vote in its national elections for example. If you hold more than one nationality/citizenship, please include these below (you can include up to three).   |   |
| Nationality/Citizenship (2) <input type="text"/>   | Nationality/Citizenship (3) <input type="text"/>  |
| <b>In which country/countries are you resident for tax purposes?</b> This is the country/countries in which you are liable to pay income and/or capital gains tax (if you're resident for tax purposes in more than one country, please also provide these – you can include up to three). If you are resident for tax purposes in the same countries to which you have already confirmed your nationality/citizenship, we still need you to confirm this below. |   |
| (1) <input type="text"/>   | (2) <input type="text"/>  |
| (3) <input type="text"/>   |   |
| <b>4. Current income details</b>   |   |
| Employment status  |   |
| <input type="checkbox"/> Employed full time  | <input type="checkbox"/> Employed part time   |
| <input type="checkbox"/> At home   | <input type="checkbox"/> Unemployed   |
| <input type="checkbox"/> Self-employed   | <input type="checkbox"/> Retired/Receiving pension  |
| <input type="checkbox"/> Receiving disability benefit  | If unemployed, do you receive income from benefits payments? <input type="checkbox"/> Yes <input type="checkbox"/> No |
| <input type="checkbox"/> Student. Please provide your course end date  | <input type="text" value="DD-MM-YYYY"/>   |
| What is your gross annual income?<br>This is your annual income from all sources before tax or any other deductions (eg salary if you are employed part-time or full time, annual earnings from business if you are self-employed or a business owner). <input type="text" value="£"/>   |   |
| <b>Employment details</b> – Please refer to the guidance notes on the last page for further information.   |   |
| Employment role <input type="checkbox"/> Business Owner  | <input type="checkbox"/> Key Controller   |
| <input type="checkbox"/> Sole Trader   | <input type="checkbox"/> Employee   |
| If you are employed full or part time/self-employed please complete:   |   |
| Employer's/Business name   | <input type="text"/>  |
| Employer's/Business address  | <input type="text"/>  |
| Industry type  |   |
| <input type="checkbox"/> Agriculture, Forestry & Fishing   | <input type="checkbox"/> Banking  |
| <input type="checkbox"/> Construction  | <input type="checkbox"/> Distribution   |
| <input type="checkbox"/> Metal manufacturing   | <input type="checkbox"/> Mining & Quarrying   |
| <input type="checkbox"/> Public sector, Transport & Communication  | <input type="checkbox"/> Public services  |
| <input type="checkbox"/> Chemicals & Allied  | <input type="checkbox"/> Estate agents & Insurance brokers  |
| <input type="checkbox"/> Other manufacturing   | <input type="checkbox"/> Social services  |
| <input type="checkbox"/> Computers & Telecommunications  | <input type="checkbox"/> Foods, Drink & Tobacco   |
| <input type="checkbox"/> Professional & Scientific   | <input type="checkbox"/> Vehicle manufacturing  |
| Other (specify) <input type="text"/>   | Occupation <input type="text"/>   |

## 5. Funding details

How much do you estimate will be paid into your M&S Cash ISA over the next 12 months? £

**This is the total amount of the transfer you are making together with any further fixed or variable rate deposits into your M&S Cash ISA. It also includes any further cash ISAs you transfer to us from another provider.**

## 6. Savings options

Please read the **four savings options** below and indicate the percentage of the amount transferred you want deposited in each option. The total must come to 100%. I wish to deposit the amount transferred as follows:

### i) Variable Rate Savings option

Interest will be automatically credited to the Advantage Cash ISA option annually.

**Option 1** Advantage Cash ISA option  %

### ii) Fixed Rate Savings option – Issue 28

Interest will be automatically credited to your savings option annually and retained within your cash ISA unless you tick the boxes below to indicate that you want interest to be paid direct to your nominated bank or building society account.

**Option 2** Fixed Rate Savings option for 1 year  % **Minimum £500 transfer** Interest to be paid out

**Option 3** Fixed Rate Savings option for 2 years  % **Minimum £500 transfer** Interest to be paid out

**Option 4** Fixed Rate Savings option for 3 years  % **Minimum £500 transfer** Interest to be paid out

To have your maturity proceeds sent directly to your bank/building society account at the end of your chosen term, please ensure you complete section 7 of this form.

**If you do not complete the 'savings options' section, we will automatically select option 1 on your behalf.**

## 7. Bank details

Complete this section so that amounts withdrawn from your account in the future, your maturity proceeds and your interest, if you have opted to have interest payments on the Fixed Rate Savings option paid out, can be paid direct to your bank/building society account. **Please refer to the guidance notes online for important information regarding account verification.**

Name of account holder(s)   
Branch sort code -- Account number   
Building society roll number  Bank/building society name   
Address  Postcode

## 8. Data policy

### IMPORTANT – USE OF YOUR INFORMATION

"HSBC Group" means HSBC Holdings plc, and/or any of its affiliates, subsidiaries, associated entities and any of their branches and offices. You have a right to know how we will use your personal information. It is important that you read the notice below and the 'your information' section of our Cash ISA Terms. With your permission we, other members of the HSBC Group, members of the Marks and Spencer Group and other organisations approved by Marks and Spencer plc may share information about you to enable each of us to keep you informed about special offers, products and services available from the HSBC Group, the Marks and Spencer Group and selected third parties by post, telephone, email, mobile text and picture messaging. If you do not want us to contact you about such special offers, products and services please tick the box.

## 9. Declaration

I declare that:

- I am 16 years of age or over.

I authorise Marks & Spencer Financial Services plc:

- to hold my cash subscriptions, and any interest earned on those subscriptions
- to make on my behalf any claims to relief from tax in respect of ISA investments.

I have received the Key Features document and a copy of the Financial Services Compensation Scheme (FSCS) Information Sheet and Exclusions List. I agree to the Cash ISA Terms and Conditions and the Fixed Rate Savings (Cash ISA) Issue 28 Terms and Conditions, copies of which I have received. I declare that this application form has been completed to the best of my knowledge and belief.

**Please note that if you have selected the Fixed Rate Savings option and we receive the transfer amount in a number of instalments from your existing ISA manager, we will initially deposit each transferred amount into the Advantage Cash ISA option. Following receipt of the final instalment we will combine all funds received, and interest accrued whilst deposits have been held in the Advantage Cash ISA option, and switch them into the Fixed Rate Savings option. Any residual income payments that arrive after the final transfer instalment will be deposited into the Advantage Cash ISA option and will not be automatically switched into the Fixed Rate Savings option.**

## 10. Signature

This form, the Marks & Spencer Cash Individual Savings Account Terms and Conditions and the Fixed Rate Savings (Cash ISA) Issue 28 Terms and Conditions comprise the agreement between us.

Signature

Date

**PLEASE ENSURE YOU HAVE COMPLETED BOTH PARTS A AND B OR WE WILL BE UNABLE TO PROCESS YOUR TRANSFER**

**PLEASE RETURN THE FORM TO SAVINGS & INVESTMENTS TEAM, M&S BANK, KINGS MEADOW, CHESTER, CH99 9UT**

**PLEASE DO NOT SEND THIS FORM TO YOUR EXISTING ISA PROVIDER. SEND THE FORM TO US AND WE WILL ARRANGE THE TRANSFER FOR YOU.**

## FOR BRANCH USE ONLY

|                 |                      |                    |                      |
|-----------------|----------------------|--------------------|----------------------|
| Customer CIN    | <input type="text"/> | ISA provider       | <input type="text"/> |
| ISA number      | <input type="text"/> | ISA sort code      | <input type="text"/> |
| Date of receipt | <input type="text"/> | Time of receipt    | <input type="text"/> |
| Branch name     | <input type="text"/> | Branch source code | <input type="text"/> |
| Staff name      | <input type="text"/> | Staff ID           | <input type="text"/> |