

Cash ISA to M&S Cash ISA transfer form

Fixed and Variable Rate Savings options

ISSUE 29

Source code

17/18

Part A – ensure you also fill in Part B

Please ensure all boxes are completed in full, using black ink and BLOCK CAPITALS and sign as required. Incomplete or incorrect forms will cause a delay and may have to be returned to you. Please call 0808 002 2222 if you require any assistance.
PLEASE NOTE THIS IS NOT AN APPLICATION FORM. PLEASE COMPLETE SEPARATE FORMS FOR EACH ACCOUNT TO BE TRANSFERRED.

1. Personal details			
If you are an existing customer and already have an M&S client reference number, please enter it here			<input type="text"/>
Title	<input type="checkbox"/> Mr	<input type="checkbox"/> Mrs	<input type="checkbox"/> Ms
	<input type="checkbox"/> Miss	<input type="checkbox"/> Dr	<input type="text"/> Other (please specify)
Forename(s)	<input type="text"/>		Surname <input type="text"/>
Gender	<input type="checkbox"/> Male	<input type="checkbox"/> Female	Date of birth <input type="text" value="DD-MM-YYYY"/>
Do you have a National Insurance (NI) number?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	If yes, you must enter it here <input type="text" value=""/>
If you have a temporary NI number please tick the 'no' box above. You should be able to find your NI number on a payslip, form P45 or P60, a letter from HM Revenue & Customs, a letter from the Department for Work and Pensions, or your pension order book.			
2. Contact details			
Current address			
Current permanent residential address (please note we are unable to accept c/o or PO Box addresses)			<input type="text"/>
<input type="text"/>			<input type="text"/>
<input type="text"/>			Postcode <input type="text"/>
Telephone/email			
We may need to contact you in the future about your account or if we have any questions regarding your application form. Please provide at least one telephone number.			
Home tel number	<input type="text"/>	Work tel number	<input type="text"/>
Mobile tel number	<input type="text"/>	Email address	<input type="text"/>
3. Your current provider details			
Please provide full details of the ISA manager with which you currently hold the cash ISA you want to transfer.			
Name of ISA provider	<input type="text"/>		
ISA sort code	<input type="text" value=""/>	ISA number	<input type="text" value=""/>
ISA roll no. (if applicable)	<input type="text"/>		
Your current provider may not use a sort code and account number and your account number may be longer than 8 digits. Please contact your current provider to confirm the details and ensure full account information is included.			
4. Cash ISA transfer details			
I apply to transfer my cash ISA as shown to Marks & Spencer Financial Services plc. The ISA regulations state that current tax year subscriptions to a cash ISA (6 April 2017 to 5 April 2018) must be transferred as a whole. Partial transfers of current tax year subscriptions to a cash ISA are not allowed.			
If you are transferring the current tax year subscription please tick this box <input type="checkbox"/>			
Previous tax year(s) subscriptions to a cash ISA can be transferred in whole or in part. Please indicate which of the following is to be transferred.			
The entire previous tax year(s) subscriptions to a cash ISA <input type="checkbox"/>		Part of the previous tax year(s) subscriptions to a cash ISA** <input type="checkbox"/>	
**If you are transferring part of your cash ISA please state the amount to be transferred			£ <input type="text"/>
Maturity date/Notice period			
If your ISA with another provider has a maturity date or notice period, please enter either the date (dd-mm-yyyy) or the number of days' notice. <input type="text" value="DD-MM-YYYY"/> or <input type="text" value=""/> days' notice			
I give my consent to either:			
a) serve the full maturity/notice period before this instruction can be processed			<input type="checkbox"/>
b) proceed immediately with the transfer and bear any consequential penalty which may be applied			<input type="checkbox"/>
5. Transfer authority			
I authorise my existing ISA manager (as specified above) to transfer the ISA (account number above) to Marks & Spencer Financial Services plc. I authorise my existing ISA manager to provide Marks & Spencer Financial Services plc with any information, written or non-written, concerning the cash ISA and to accept instructions from them relating to the cash ISA being transferred. For the purposes of the transfer of the ISA wrapper under the ISA regulations, the date we receive your signed transfer application will be the transfer date.			
Signature	<input type="text" value="X"/>	Date	<input type="text" value="X"/>

PLEASE ENSURE YOU COMPLETE PART B WHICH IS A SEPARATE SHEET CONTAINED WITHIN YOUR PACK

FOR OFFICE USE ONLY**Transfer acceptance (to be completed by new ISA manager)**

In circumstances where the funds to be transferred are not cash deposits,
[or the current year subscription to be transferred exceeds £]
please notify us as we may not be able to accept the transfer.

Otherwise, we, Marks & Spencer Financial Services plc, are willing to accept this
investor's cash ISA funds, subject to HMRC rules (the ISA regulations).
We deem the date shown right to be the transfer date of this cash ISA.

[Delete words in square brackets if not relevant.]

Date of transfer	<input type="text"/>
Name	M&S Bank
Address	Kings Meadow, Chester
Postcode	CH99 9UT
Telephone number	0808 005 5555
Email address	internet.investments@mandsbank.com

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Part B – ensure you also fill in Part A

To comply with our regulatory obligations, we need to collect certain personal information from you, including how you will fund your account, and conduct various checks on transfer applications and transactions. Your name and address may be checked with a credit reference agency to verify your identity, and for crime prevention measures, using information from the voters roll and other public sources. If we are unable to confirm your details we will write to you to request verification prior to accepting and completing your transfer. Incomplete or incorrect forms will cause a delay and may have to be returned to you. Please call 0808 002 2222 if you require any assistance.

1. Personal details

Please refer to the guide to applying for a cash ISA for further information.

If you are an existing savings and investments customer with M&S please enter your client reference

Forename(s) Surname

Are you now or have you ever been known by any other name(s)? Yes No If yes, please specify the full names below

Country of birth Country of permanent residence

Are you or any member of your immediate family a public official? Please refer to the guide to applying for a cash ISA for further information. Yes No

2. Current and previous address details

Current address

Current permanent residential address (please note we are unable to accept c/o or PO Box addresses)

Postcode

Country How long have you lived there? years months

Previous address

If you've been at your current address less than three years, please supply all other addresses you have lived at during this period. If you need additional space, please use a blank piece of paper.

Postcode

Country How long did you live there? years months

3. Nationality and tax residency

Nationality/Citizenship (1)

You may be a national or citizen of a country if it has issued you with a passport, or if you have the right to vote in its national elections for example. If you hold more than one nationality/citizenship, please include these below (you can include up to three).

Nationality/Citizenship (2) Nationality/Citizenship (3)

In which country/countries are you resident for tax purposes? This is the country/countries in which you are liable to pay income and/or capital gains tax (if you're resident for tax purposes in more than one country, please also provide these – you can include up to three). If you are resident for tax purposes in the same countries to which you have already confirmed your nationality/citizenship, we still need you to confirm this below.

(1) (2) (3)

4. Current income details

Employment status

Employed full time Employed part time Self-employed Retired/Receiving pension Receiving disability benefit

At home Unemployed If unemployed, do you receive income from benefits payments? Yes No

Student. Please provide your course end date

What is your gross annual income? This is your annual income from all sources before tax or any other deductions (eg salary if you are employed part-time or full time, annual earnings from business if you are self-employed or a business owner). £

Employment details – Please refer to the guidance notes for further information.

Employment role Business Owner Key Controller Sole Trader Employee

If you are employed full or part time/self-employed please complete:

Employer's/Business name

Employer's/Business address

Industry type

<input type="checkbox"/> Agriculture, Forestry & Fishing	<input type="checkbox"/> Banking	<input type="checkbox"/> Chemicals & Allied	<input type="checkbox"/> Computers & Telecommunications
<input type="checkbox"/> Construction	<input type="checkbox"/> Distribution	<input type="checkbox"/> Estate agents & Insurance brokers	<input type="checkbox"/> Foods, Drink & Tobacco
<input type="checkbox"/> Metal manufacturing	<input type="checkbox"/> Mining & Quarrying	<input type="checkbox"/> Other manufacturing	<input type="checkbox"/> Professional & Scientific
<input type="checkbox"/> Public sector, Transport & Communication	<input type="checkbox"/> Public services	<input type="checkbox"/> Social services	<input type="checkbox"/> Vehicle manufacturing

Other (specify) Occupation

PLEASE COMPLETE THE REST OF THE FORM OVERLEAF

5. Funding details

How much do you estimate will be paid into your M&S Cash ISA over the next 12 months? £

This is the total amount of the transfer you are making together with any further fixed or variable rate deposits into your M&S Cash ISA. It also includes any further cash ISAs you transfer to us from another provider.

6. Savings options

Please read the **four savings options** below and indicate the percentage of the amount transferred you want deposited in each option. The total must come to 100%. I wish to deposit the amount transferred as follows:

i) Variable Rate Savings option

Interest will be automatically credited to the Advantage Cash ISA option annually.

Option 1 Advantage Cash ISA option %

ii) Fixed Rate Savings option – Issue 29

Interest will be automatically credited to your savings option annually and retained within your cash ISA unless you tick the boxes below to indicate that you want interest to be paid direct to your nominated bank or building society account.

Option 2 Fixed Rate Savings option for 1 year % **Minimum £500 transfer** Interest to be paid out

Option 3 Fixed Rate Savings option for 2 years % **Minimum £500 transfer** Interest to be paid out

Option 4 Fixed Rate Savings option for 3 years % **Minimum £500 transfer** Interest to be paid out

To have your maturity proceeds sent directly to your bank/building society account at the end of your chosen term, please ensure you complete section 7 of this form.

If you do not complete the 'savings options' section, we will automatically select option 1 on your behalf.

7. Bank details

Complete this section so that amounts withdrawn from your account in the future, your maturity proceeds and your interest, if you have opted to have interest payments on the Fixed Rate Savings option paid out, can be paid direct to your bank/building society account. **Please refer to the guidance notes online for important information regarding account verification.**

Name of account holder(s)

Branch sort code -- Account number

Building society roll number Bank/building society name

Address Postcode

8. Data policy

IMPORTANT – USE OF YOUR INFORMATION

"HSBC Group" means HSBC Holdings plc, and/or any of its affiliates, subsidiaries, associated entities and any of their branches and offices.

You have a right to know how we will use your personal information. It is important that you read the notice below and the M&S Bank Privacy Notice. With your permission we, other members of the HSBC Group, members of the Marks and Spencer Group and other organisations approved by Marks and Spencer plc may share information about you to enable each of us to keep you informed about special offers, products and services available from the HSBC Group, the Marks and Spencer Group and selected third parties by post, telephone, email, mobile text and picture messaging. If you do not want us to contact you about such special offers, products and services please tick the box.

9. Declaration

I declare that:

- I am 16 years of age or over.

I authorise Marks & Spencer Financial Services plc:

- to hold my cash subscriptions, and any interest earned on those subscriptions
- to make on my behalf any claims to relief from tax in respect of ISA investments.

I have received the Key Features document and a copy of the Financial Services Compensation Scheme (FSCS) Information Sheet and Exclusions List. I agree to the Cash ISA Terms and Conditions, a copy of which I have received. I declare that this application form has been completed to the best of my knowledge and belief.

10. Signature

This form and the Marks & Spencer Cash Individual Savings Account Terms and Conditions comprise the agreement between us.

Signature

Date

**PLEASE ENSURE YOU HAVE COMPLETED BOTH PARTS A AND B OR WE WILL BE UNABLE TO PROCESS YOUR TRANSFER
PLEASE RETURN THE FORM TO SAVINGS & INVESTMENTS TEAM, M&S BANK, KINGS MEADOW, CHESTER, CH99 9UT**

FOR BRANCH USE ONLY

Customer CIN	<input type="text"/>	ISA provider	<input type="text"/>
ISA number	<input type="text"/>	ISA sort code	<input type="text"/>
Date of receipt	<input type="text"/>	Time of receipt	<input type="text"/>
Branch name	<input type="text"/>	Branch source code	<input type="text"/>
Staff name	<input type="text"/>	Staff ID	<input type="text"/>